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2645 Group Art Unit: Farzad Hiri, et al. Applicants:

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Methods of Controlling Communications With at Least Two Calling Party Devices

by a User of a Called Party Device

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J**ác**queli**re** Wilson

### APPEAL UNDER 35 U.S.C. §134

This Brief is submitted to appeal the decision of the Primary Examiner set forth in a Final Official Action dated December 2, 2005, finally rejecting all pending claims.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §41.20(b)(2) that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1379.

## Real Party in Interest

The real party in interest, by assignment, is: Telefonaktiebolaget LM Ericsson (publ)

SE-164 83

Stockholm, Sweden

### Related Appeals and Interferences

None.

#### Status of Claims

Claims 1-7, 9-19 and 21-35 are presently pending in the application, each of which are finally rejected and form the basis for this Appeal. Claims 1-4, 6, 7, 9, 10, 13-16, 18, 19, 21, 22, 32 and 33 stand rejected, under 35 U.S.C. §103(a), as being unpatentable over Gregorek, et al. (US 5,557,658); claims 5, 17 and 29 stand rejected over Gregorek in view of Casellini (US 6,404,860) further in view of Rogers, et al. (US 5,946,386); claims 11, 23 and 34 stand rejected over Gregorek in view of Casellini further in view of Tatchell, et al. (US 6,160,877); and claims 12, 24 and 35 stand rejected as being unpatentable over Gregorek in view of Casellini further in view of Tatchell and further in view of Zhakov, et al. (US 2003/0021264). Claims 1-7, 9-19 and 21-35, including all amendments to the claims, are included in the Claims Appendix. The rejection of claims 1-7, 9-19 and 21-35 is appealed.

### **Status of Amendments**

The claims set out in the Claims Appendix include all entered amendments. No amendment has been filed subsequent to the final rejection.

# **Summary of Claimed Subject Matter**

#### Claim 1

Claim Element	Specification Reference
1. A method of controlling communications with at least two calling party devices by a user of a called party device, said method comprising the steps of:	Page 7, line 7, et seq.
establishing a first call link between said called party device and a first calling party device;	Figure 1-A; page 7, line 7, et seq.; page 8, line 4, et seq.
receiving a call request to said called party device from a second calling party device;	, -
placing said first call link on hold;	Figure 1-B; page 9, line 14, et seq.
accepting said call request from said	Figure 1-B; page 10, line 4, et seq.

second calling party device to establish a	'
second call link between said called party	
device and said second calling party device;	
causing, through the selective activation	Page 11, line 1, et seq.
by said user of said called party device, a	Figure 1-C, page 11, line 22, et seq.
message to be transmitted to said first calling	Figure 1-D, page 13, line 16, et seq.
party device, said step of causing a message	Figure 1-E, page 15, line 14, et seq.
to be transmitted to said first calling party	
device comprising the step of said user	
selecting one of a plurality of predefined	
messages using an input mechanism	
associated with said called party device while	
said called party device is in communication	
with said second calling party device, whereby	
said user of said called party device can	
• • •	
communicate information to a user of said first	
calling party device without interrupting	
communications with a user of said second	
calling party device.	

# Claim 13

Claim Element	Specification Reference
13. A communications device for receiving and controlling communications with at least two calling party devices by a user thereof, said communications device comprising:	Page 7, line 7, et seq.
means for establishing a first call link between said communications device and a first calling party device;	Figure 1-A; page 7, line 7, et seq.; page 8, line 4, et seq.
means for receiving a call request to said communications device from a second calling party device;	Figure 1-B; page 7, line 10, et seq.; page 9, line 1, et seq.
means for placing said first call link on hold;	Figure 1-B; page 9, line 14, et seq.
means for accepting said call request from said second calling party device to establish a second call link between said communications device and said second calling party device;	Figure 1-B; page 10, line 4, et seq.
means for causing, through the selective activation by said user of said called party device, a message to be transmitted to said first calling party device, said means for causing a message to be transmitted to said first calling party device comprising means for	Page 11, line 1, et seq. Figure 1-C, page 11, line 22, et seq. Figure 1-D, page 13, line 16, et seq. Figure 1-E, page 15, line 14, et seq.

said user of said communications device to select one of a plurality of predefined messages using an input mechanism associated with said communications device while said communications device is in communication with said second calling party device, whereby said user of said communications device can communicate information to a user of said first calling party device without interrupting communications with a user of said second calling party device.

#### Claim 25

Claim Element	Specification Reference
25. A method of controlling communications with at least two remote telephony devices by a user of a telephony device, said method comprising the steps of:	Page 7, line 7, et seq.
establishing a first call link between a first remote telephony device and said telephony device;	Figure 1-A; page 7, line 7, et seq.; page 8, line 4, et seq.
establishing a second call link between a second remote telephony device and said telephony device; and,	Figure 1-B; page 10, line 4, et seq.
while said first call link is on hold and said telephony device is in communication with said second remote telephony device, causing, through the selective activation by said user of said telephony device, a message to be transmitted to said first remote telephony device, said step of causing a message to be transmitted to said remote telephony device comprising the step of said user selecting one of a plurality of predefined messages using an input mechanism associated with said telephony device while said telephony device is in communication with said second remote telephony device, whereby said user of said telephony device can communicate information to a user of said first remote telephony device without interrupting communications with a user of said second remote telephony device.	Page 11, line 1, et seq. Figure 1-C, page 11, line 22, et seq. Figure 1-D, page 13, line 16, et seq. Figure 1-E, page 15, line 14, et seq.

The specification references listed above are provided solely to comply with the USPTO's current regulations regarding appeal briefs. The use of such references should not be interpreted to limit the scope of the claims to such references, nor to limit the scope of the claimed invention in any manner.

#### Grounds of Rejection to be Reviewed on Appeal

1.) Claims 1-4, 6, 7, 9 10, 13-16, 18, 19 21, 22, 32 and 33, stand rejected, under 35 U.S.C. §103(a), as being allegedly unpatentable over Gregorek *et al.* (US 5,557,658 hereinafter Gregorek), claims 5, 17 and 29 allegedly over Gregorek in view of Casellini (US 6,404,860 hereinafter Casellini) further in view of Rogers *et al.* (US 5,946,386 hereinafter Rogers), claims 11, 23 and 34 allegedly over Gregorek in view of Casellini further in view of Tatchell *et al.* (US 6,160,877 hereinafter Tatchell) and claims 12, 24 and 35 allegedly over Gregorek in view of Casellini further in view of Tatchell and further in view of Zhakov *et al.* (US 2003/0021264 hereinafter Zhakov).

#### **Argument**

### 1.) Claim Rejections – 35 U.S.C. §103(a)

In previous rejections, the Examiner rejected each of Applicants' independent claims as being anticipated by Gregorek. In accepting Applicants' response to the prior Office Action, the Examiner apparently recognized the deficiency of Gregorek in anticipating those claims. To overcome the deficiencies of Gregorek, the Examiner now looks to the teachings of Casellini. The teachings of Casellini in combination with Gregorek, however, also fail to teach the claimed invention.

#### Claim 1 recites:

1. A method of controlling communications with at least two calling party devices by a user of a called party device, said method comprising the steps of:

establishing a first call link between said called party device and a first calling party device;

receiving a call request to said called party device from a second calling party device;

placing said first call link on hold;

accepting said call request from said second calling party device to establish a second call link between said called party device and said second calling party device;

causing, through the selective activation by said user of said called party device, a message to be transmitted to said first calling party device, said step of causing a message to be transmitted to said first calling party device comprising the step of said user selecting one of a plurality of predefined messages using an input mechanism associated with said called party device while said called party device is in communication with said second calling party device, whereby said user of said called party device without interrupting communications with a user of said second calling party device. (emphasis added)

The Applicants' invention is characterized by a user of a called party device causing a message to be transmitted to said first calling party device by selecting one of a plurality of predefined messages using an input mechanism associated with said called party device while said called party device is in communication with said second calling party device.

Gregorek discloses a call processing system which can transmit a generally continuous pre-recorded announcement to a telephony device that has been placed on hold. Unlike the Applicants' invention, however, the transmission of the pre-recorded announcement is under the automatic control of a switch 22 or attached network signaling system (ANSS) 23, rather than the <u>user</u> of a called party device. The Examiner fails to point to any teaching in Gregorek where a <u>user</u> of a called party device causes the sending of a message to a first calling party device <u>using an input</u> mechanism associated with said called party device.

Furthermore, and most importantly, claim 1 recites that the step of causing a message to be transmitted to a first calling party device (while on hold) includes the step of the user (of the called party device) selecting one of a plurality of predefined messages (using an input mechanism associated with said called party device) while the called party device is in communication with a second calling party device. Gregorek simply does not disclose the ability of a user of a telephony device, while a first calling party is on hold and such device is in communication with a second calling party, to select one of a plurality of predefined messages and cause it to be transmitted to the first calling party, whereby the user of the called party device can

communicate information to a user of the first calling party device without interrupting communications with a user of the second calling party device.

The Examiner states (page 5, 2<sup>rd</sup> paragraph, of the Final Office Action) that Gregorek teaches:

"the step of causing an announcement to the first calling party device comprising one of a plurality of customized announcements [i.e., predefined messages] using an input mechanism associated with the called party device while the called party is in communication with the second calling party." (emphasis added)

That assertion by the Examiner as to what Gregorek teaches, however, appears to contradict his later statement (page 5, 3<sup>th</sup> paragraph) that Gregorek "does not specifically teach" the step of causing an announcement to the first calling party device comprising the step of the user selecting one of a plurality of predefined messages"." (emphasis added) Regardless of the Examiner's apparently contradictory statements, it is apparent that neither Gregorek or Casellini disclose the step of causing a message to be transmitted to a <u>first</u> calling party device (while on hold), including the step of the user (of the called party device) selecting one of a plurality of predefined messages (using an input mechanism associated with said called party device) while the called party device is in communication with a <u>second</u> calling party device. The Examiner, again, ignores these limitations of claim 1 and points to no teaching in Gregorek or Casellini to support his rejection.

Furthermore, the Examiner points to no teaching or suggestion in Gregorek or Casellini to combine their teachings to arrive at the claimed invention. Moreover, Casellini actually teaches away from the claimed invention. The teachings of Casellini are directed to a Call Management Application that is separate from a user's device on which calls are received; the call management application is internet-based, while a user actually receives calls on a separate telephony device. According to Casellini, a user has a telephone or other communication device, such as a mobile wireless handset (200). (see column 1, lines 41-43) If a user doesn't answer a call to their telephony device (analogous to Applicants' claimed "called party device"), then the call is redirected to a call management application that the user can access via their separate internet-connected computer. (see column 1, 45-51). It is to improvements in

such call management application that the teachings of Casellini are directed. In contrast, as recited in Applicants' claim 1, a user's called party device is used both to establish call links with different first and second callers, but also to cause, through the selective activation by a user of the called party device, a message to be transmitted to a first calling party device, the step of causing a message to be transmitted to the first calling party device comprising the step of the user selecting one of a plurality of predefined messages using an input mechanism associated with the called party device while the called party device is in communication with the second calling party device, whereby a user of the called party device can communicate information to a user of the first calling party device without interrupting communications with a user of the second calling party device. Casellini teaches away from the use of a common device for both call connections and simultaneous messaging to calling parties in the manner claimed by Applicants. Accordingly, Casellini fails to overcome the deficiencies of Gregorek, and claim 1 is not obvious in view of those references.

Independent claims 13 and 25 recite limitations analogous to those of claim 1 and, therefore, those claims are also not obvious over Gregorek in view of Casellini. Furthermore, whereas claims 2-7 and 9-12 are dependent from claim , claims 14-19 and 21-24 are dependent from claim 13, and claims 26-35 are dependent from claim 25, and include the limitations of their respective base claims, those claims are also not obvious over Gregorek in view of Casellini, or in further view of Rogers, Tatchell, or Zhakov. The Applicants, therefore, respectfully request that the Board reverse the Examiner's rejection of claims 1-7, 9-19 and 21-35 as being obvious.

### CONCLUSION

In view of the foregoing, the Applicants believe all of the claims currently pending in the Application to be in a condition for allowance. The Applicants, therefore, respectfully request that the Examiner's rejection thereof be reversed and the application be remanded for further prosecution.

Respectfully submitted,

Roge S. Burleigh

Registration No. 40,542

Ericsson Patent Counsel

Date: March 16, 2006

Ericsson Inc. 6300 Legacy Drive, M/S EVR1 C-11 Plano, Texas 75024

(972) 583-5799 roger.burleigh@ericsson.com

**APPENDIX** 

**Pending Claims** 

1. (Previously Presented) A method of controlling communications with at

least two calling party devices by a user of a called party device, said method

comprising the steps of:

establishing a first call link between said called party device and a first calling

party device;

receiving a call request to said called party device from a second calling party

device;

placing said first call link on hold;

accepting said call request from said second calling party device to establish a

second call link between said called party device and said second calling party device;

causing, through the selective activation by said user of said called party device,

a message to be transmitted to said first calling party device, said step of causing a

message to be transmitted to said first calling party device comprising the step of said

user selecting one of a plurality of predefined messages using an input mechanism

associated with said called party device while said called party device is in

communication with said second calling party device, whereby said user of said called

party device can communicate information to a user of said first calling party device

without interrupting communications with a user of said second calling party device.

2. (Original) The method recited in Claim 1, wherein said message

instructs said user of said first calling party device to hold.

3. (Original) The method recited in Claim 1, wherein said message

instructs said user of said first calling party device that said call link to said called party

device will be disconnected.

4. (Original) The method recited in Claim 3, further comprising the step of

automatically causing said first call link to be terminated.

5. (Original) The method recited in Claim 1, wherein said message

instructs said user of said first calling party device to leave a message.

6. (Original) The method recited in Claim 4, further comprising the step of

automatically causing said first calling party device to be connected to a messaging

system associated with said user of said called party device.

7. (Original) The method recited in Claim 1, wherein said message

comprises a prerecorded voice message.

8. (Cancelled)

9. (Original) The method recited in Claim 1, wherein said step of causing

a message to be transmitted to said first calling party device comprises the step of said

user generating a text message using an input mechanism associated with said called

party device.

10. (Original) The method recited in Claim 9, further comprising the step of

converting said text message to speech.

11. (Original) The method recited in Claim 1, wherein said call links

between said called party device and said calling party devices are established through

a packet-switched communications network.

12. (Original) The method recited in Claim 11 wherein said call links are

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established using an Internet Engineering Task Force (IETF) Session Initiation Protocol

(SIP).

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13. (Previously Presented) A communications device for receiving and

controlling communications with at least two calling party devices by a user thereof, said

communications device comprising:

means for establishing a first call link between said communications device and a

first calling party device;

means for receiving a call request to said communications device from a second

calling party device;

means for placing said first call link on hold;

means for accepting said call request from said second calling party device to

establish a second call link between said communications device and said second

calling party device;

means for causing, through the selective activation by said user of said called

party device, a message to be transmitted to said first calling party device, said means

for causing a message to be transmitted to said first calling party device comprising

means for said user of said communications device to select one of a plurality of

predefined messages using an input mechanism associated with said communications

device while said communications device is in communication with said second calling

party device, whereby said user of said communications device can communicate

information to a user of said first calling party device without interrupting

communications with a user of said second calling party device.

14. (Original) The communications device recited in Claim 13 wherein said

message instructs said user of said first calling party device to hold.

15. (Original) The communications device recited in Claim 13 wherein said

message instructs said user of said first calling party device that said call link to said

communications device will be disconnected.

16. (Original) The communications device recited in Claim 15 further

comprising means for automatically causing said first call link to be terminated.

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17. (Original) The communications device recited in Claim 13 wherein said

message instructs said user of said first calling party device to leave a message.

18. (Original) The communications device recited in Claim 17 further

comprising means for automatically causing said first calling party device to be

connected to a messaging system associated with said user of said communications

device.

19. (Original) The communications device recited in Claim 13, wherein

said message comprises a prerecorded voice message.

20. (Cancelled)

21. (Original) The communications device recited in Claim 13, wherein

said means for causing a message to be transmitted to said first calling party device

comprises means for said user of said communications device to generate a text

message using an input mechanism associated with said communications device.

22. (Original) The communications device recited in Claim 21, further

comprising means for converting said text message to speech.

23. (Original) The communications device recited in Claim 13, wherein

said call links between said communications device and said calling party devices are

established through a packet-switched communications network.

24. (Previously Presented) The communications device recited in Claim

23, wherein said call links are established using an Internet Engineering Task Force

(IETF) Session Initiation Protocol (SIP).

25. (Previously Presented) A method of controlling communications with at

least two remote telephony devices by a user of a telephony device, said method

comprising the steps of:

establishing a first call link between a first remote telephony device and said

telephony device;

establishing a second call link between a second remote telephony device and

said telephony device; and,

while said first call link is on hold and said telephony device is in communication

with said second remote telephony device, causing, through the selective activation by

said user of said telephony device, a message to be transmitted to said first remote

telephony device, said step of causing a message to be transmitted to said remote

telephony device comprising the step of said user selecting one of a plurality of

predefined messages using an input mechanism associated with said telephony device

while said telephony device is in communication with said second remote telephony

device, whereby said user of said telephony device can communicate information to a

user of said first remote telephony device without interrupting communications with a

user of said second remote telephony device.

26. (Previously Presented) The method recited in Claim 25, wherein said

message instructs said user of said first remote telephony device to hold.

27. (Previously Presented) The method recited in Claim 25, wherein said

message instructs said user of said first remote telephony device that said call link to

said telephony device will be disconnected.

28. (Previously Presented) The method recited in Claim 27, further

comprising the step of automatically causing said first call link to be terminated.

29. (Previously Presented) The method recited in Claim 25, wherein said

message instructs said user of said first remote telephony device to leave a message.

30. (Previously Presented) The method recited in Claim 28, further comprising the step of automatically causing said first remote telephony device to be

connected to a messaging system associated with said user of said telephony device.

31. (Previously Presented) The method recited in Claim 25, wherein said

message comprises a prerecorded voice message.

32. (Previously Presented) The method recited in Claim 25, wherein said

step of causing a message to be transmitted to said first remote telephony device

comprises the step of said user generating a text message using an input mechanism

associated with said telephony device.

33. (Previously Presented) The method recited in Claim 32, further

comprising the step of converting said text message to speech.

34. (Previously Presented) The method recited in Claim 25, wherein said

call links between said telephony device and said remote telephony devices are

established through a packet-switched communications network.

35. (Previously Presented) The method recited in Claim 34, wherein said

call links are established using an Internet Engineering Task Force (IETF) Session

Initiation Protocol (SIP).

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APPLICANTS' APPEAL BRIEF

# **APPENDIX**

# **Evidence Appendix**

None.

# <u>APPENDIX</u>

# **Related Proceedings Appendix**

None.